

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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J1033 U.S. PTO  
09/930095  
08/15/01

Applicant: Monga Atty Dkt: 2204/A97  
Serial No: Not yet assigned Date: August 15, 2001  
Date Filed: Herewith  
Invention: System, Device, and Method for Managing Connection Quality in an Optical Communication System

Commissioner for Patents  
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'  
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

DP  
AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.  
AB- "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.  
AC/ Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.  
AD/ Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.  
AE/ "Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.  
AF - "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.  
AG - Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.  
AH/ "ASON - Requirements at the Client API", Newsome, et. al., September 2000.  
AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.  
AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: DP

Date Considered: 2/17/05

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.  
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
JP JP JP		US- 5,905,715	05/18/1999	Azarmi et al.	
		US- 5,903,370	05/11/1999	Johnson	
		US- 5,774,669	06/30/1998	George et al.	
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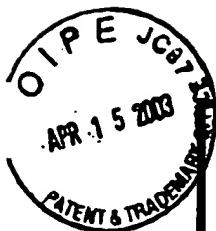
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Examiner Signature	<i>[Signature]</i>	Date Considered	8/17/05
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1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<b>Compleat if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Applicati n Number	09/830095
				Filing Date	8/15/2001
				First Named Inventor	Monga
				Art Unit	2633
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	13072BAUS07U 120-177

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Issue Date MM- DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
dp		US-5,889,600	03/30/1999	McGuire	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
dp		IPER for PCT/US01/25520		Nortel Networks Ltd.		

Examiner Signature	<i>Day</i>	Date Considered	2/17/05
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<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

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